*To be short and encapsulate the main objective.*

Choose an item.

*Form is best filled in MS Word 2016 and above.*

*Make PDF before submission.*

***Instructions:***

1. *Pl provide information to all places in* ***maroon*** *colour.*
2. *Pl fill-in information in tables filled with* ***light yellow/cream*** *colour.*
3. *Concept Proposal: Fill Form 1 & 2 only. Do not delete unfilled forms.*
4. *Detailed Proposal: Fill Form 1, 2, 4, 5A to 5F. Do not delete unfilled forms.*
5. *Series 3 forms will be filled by stake holding Lab.*
6. *Other forms would be filled after approval of project by the GC.*
7. ***PL REMOVE ALL THESE TEXT BOXES FROM FILLED FORMS****.*

*Pl fill the date of submission.*

Submitted By

12-Jul-24

A blue and white logo

Description automatically generated

PI – Pl write your name without Designation

Choose an item. in IIT Kanpur

Name of Department

Indian Institute of Technology

PO IITK, Kalyanpur

Kanpur (UP) – 208 016

In Association with

DIA COE Institute Name

Pl write the name of Research Vertical

Complete Title of the Project

Subtitle – Optional

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# Guidelines to Fill Project Proposal Forms

**Summary of the proposal**

* Title of proposal must be short and should encapsulate the main objective.
* PI should provide 4-5 keywords which will help in classification.

**Detailed Cost Break-up**

* Staff: Indicate here numbers and categories of staff proposed to be engaged. The current emoluments should be as per DST / Institute norms. HRA will be payable as per Govt. rules.
* Equipment (including spares thereof): Details of proposed equipment(s), quantity, costing, and source along with justification to be given against each item of detailed form 5C.
* Operation and maintenance of equipment: Include here the proposed outlays on service contracts for maintenance of equipment beyond guarantee period; upkeep of animal houses; items essential for safe operation of equipment e.g. essential periodic replacement of furnace linings, pump bearings, etc.
* Expendables: Chemicals, Glass Wares, Electronic components, raw materials, and other consumables.
* Workshop: specific to the project for knowledge dissemination.
* Travel: Details in proposal should show the purpose of travel. The Domestic travel cost shall be 2% of project cost or Rs. 85,000/- per person per year for the 2/3rd of the total manpower in the project, whichever is less.
* Contingencies: Includes office supplies, local transport, telephone/fax expenses, postage, etc. but should not include procured services. Contingencies should be limited to maximum 3% of the project cost.
* Visiting Faculty or Research Consultants: Purpose of having consultancy should be well defined. Enter total annual remuneration in year-boxes. (Brief biodata of proposed Visiting Faculty or Research Consultants must be included in the proposal.)
* Procured services (other than contingencies) and metered utilities: Include here any charges for separately metered power consumed by heavy equipment (e.g. furnaces, compressors, etc.); commercial sample-testing; certification charges; charged time on shared or hired equipment outside the host institution and expenses towards advertising for equipment and recruitment of staff
* Industry/ Startup Research: Startup/ Industry research participation required in the project. Stage of industry engagement should be clearly defined.
* Overhead expenditure: will be as per approved norms.

**Certificate:** Should get the signature and seal of Applicant PI and Administrative Authority.

**Please send signed hard copy of proposal by Speed Post (Not Courier)/hand to:**

The Director

Directorate of Futuristic Technology Management (DFTM)

Defence Research & Development Organization (DRDO), Ministry of Defence

3rd Floor, DRDO HQrs Annexe, Metcalfe House, Delhi -110054

Ph: 011 – 23902763

**General Guidelines**

* A deeper thought process while giving the proposals.
* Prevent incomplete information.
* Strengthen pre-project stage activities.
* Start the work with end in mind.
* High end equipment, large facilities without a long-term plan will be a burden and hence shall utilize the existing facilities.
* Adequate assessment (availability, alternate facility/ time slots) of test facilities in Statement of Case (SoC)/ Research Proposals.
* Formulation of comprehensive specification & requirement for imported components/ sub-systems/ system during pre-project activities.
* Annual Conference for internal dissemination of knowledge & collaboration.
* Inclusion of comprehensive checklist to identify and manage technical risks for the research projects.
* Inclusion of Technical and Administrative closure as a project milestone.
* Adequate planning and communication of Infrastructure upgradation and maintenance activities.
* Detailed global benchmarking (technical, features, applications, output performance) with similar projects at project evaluation stage.
* Attachments (if any) to be given as Annexure A, B….
* Repetition to be avoided at all stages, refer to the sections having information instead.

# Project Proposal Checklist

* *The proposal form is to be filled in stages as given on the submission schedule column. The researcher may however understand the entire form to anticipate current and future submission requirements. Please read the form carefully and contact DFTM in case of any queries.*
* *The following table is the checklist of submission.*
* *Please tick mark the forms being submitted on the given date. Re-submitted (revised) forms may be given a suitable version number.*
* *The forms are automated with the dropdowns and checkboxes. The automation provided in the forms work on Microsoft Word 2016 and above.*
* *All can be submitted at once also, if ready.*

| **SNo** | **Form No** | **Title** | **Submission Schedule**  **(Mandatory Requirements)** |
| --- | --- | --- | --- |
|  | **Form 1\*** | **Summary of Proposal – Basic Information** | Pre TEC |
|  | **Form 2\*** | **Technical Brief** | Pre TEC  *Can be revised based on RAB and GC recommendations.* |
|  | Form 3A | Lab Recommendation (Initial) | Pre TEC |
| Form 3B | Lab Recommendation (Final) | Post GC |
|  | **Form 4\*** | **Extended Technical Details** | Pre TEC  *Can be revised based on RAB and GC recommendations.* |
|  | **Form 5A\*** | **Detailed Cost Breakup** | Pre TEC  *Can be revised based on RAB and GC recommendations.* |
| Form 5B | Manpower Detailed Justification | Pre RAB  *Can be revised based on RAB and GC recommendations. Pre GC & Post GC* |
| Form 5C | Equipment Details |
| Form 5D | Details of Expendables |
| Form 5E | Details of Procured Services |
| Form 5F | Cost Estimation for Expendables |
| Form 5G | Cost Estimation for Research Staff | Post GC / Final Proposal |
| Form 5H | Cost Estimation for Proposed Equipment |
| Form 5I | Cost Estimation for Contingency |
| Form 5J | Cost Estimation for Travel |
|  | Form 6A | PI Details  Multiple 6A’s can be submitted one for each PI |
| Form 6B | Industry/ Startup Co-PI Details  Multiple 6B’s can be submitted one for each industry |
|  | **Form 7A\*** | **Certificate of Project Submission** |
| **Form 7B\*** | **Cost Reasonability Certificate** |
| **Form 7C\*** | **HRA Certificate** |
| **Form 7D\*** | **Certificate of Commercial Use** |
| **Form 7E\*** | **Life Sciences Project Certificate** |

***\*For financial sanction the forms to be countersigned by Administrative Authority as nominated by head of Institute at final submission***

*Abbreviations: Technical Evaluation Committee (TEC), Governing Council (GC), Research Advisory Board (RAB),*

*Principal Investigator (PI), Non-Resident Indian (NRI), Overseas Citizenship of India (OCI)*

# Form 1: Summary of Proposal

*Pl write key words that will help in classification.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **Project Title** | Complete Title of the Project | | | |
|  | **Proposal Type** (Choose Dropdown)  (Laboratory Demo/ Prototype Development/ Technology. Translation/ Operational Strategies/ Study, Simulation and Experimental Validation) | Choose an item. | | | |
|  | **Key** **words** (4-5)  Technology, Deliverables, Science, Area of research |  | | | |
|  | **Reference Project** (Dropdown)  (New/ Follow up) | Choose an item. | | | |
|  | **Name of PI** | Pl write your name without Designation | | | |
|  | **Contact Details of PI** | +91 9999999999, abc@iitx.ac.in | | | |
|  | **Institute Name** | IIT Kanpur | | | |
|  | **Institute Type** (Dropdown)  (Government Academic/ Government Research/ Private Academic/ Private Research/ Others)  In case of ‘Others’ please specify | Choose an item. | | | |
|  | **Project Duration** (months) |  | | | |
|  | **Cost**  (Rs Lakhs) | ₹ | | | |
|  | **Stakeholder DRDO Lab** |  | | | |
|  | **DIA CoE**  (Liaison Institute – approved CoE) | DIA COE Institute Name | | | |
|  | **Collaborating Institute Co-PI** Details  *(Can add more rows if required)* | *Write NIL if no collaboration*  *Exclusive*  *Name Institute Sanction* | | | |
| Co-PI 1 |  |  | | Yes/ No |
| Co-PI 2 |  |  | | Yes/ No |
| Co-PI 3 |  |  | | Yes/ No |
|  | **Industry Collaboration** (Dropdown)  (Yes/ No) If yes, Name & type of Industry  *(Can add more rows if required)* | Choose an item.  *Exclusive*  *Name Institute Sanction* | | | |
| Industry 1 (Start-up/ MSME/Other) |  | Choose an item. | | Yes/ No |
| Industry 2 (Start-up/ MSME/Other) |  | Choose an item. | | Yes/ No |
| Industry 3 (Start-up/ MSME/Other) |  | Choose an item. | | Yes/ No |
|  | **International Collaboration**  (Yes/ No) | Choose an item. | | | |
| Collaborating PI |  | | | |
| Collaborating Institute Name |  | | | |
| QS world Univ. Ranking |  | | | |
| Collaborating Institute Department |  | | | |
| QS Ranking of the Department |  | | | |
| Collaborating Institute Country |  | | | |
|  | **Deliverable Artefacts** (click on the box, multiple choices can be selected by the PI. For others, please write what is being offered.) | Dataset  Simulation Model  Standard  Others  *\_\_\_\_\_\_\_\_\_\_\_\_* | | Prototype  Process & Methodology  Research facility  Source Code/ Algorithm | |

# Form 2: Technical Brief

*Please do not change the numbering below*

1. **Aim of Research Proposal**

*Write in brief the aim of proposal. Please make it short with one paragraph only.*

1. **Objectives**

*(Add more rows at the end for more objectives)*

* 1. Objective 1
  2. Objective 2

1. **Deliverables**

*(Add more rows at the end for more deliverables)*

1. **Milestones (Give milestones with timelines)**

*(Add more rows at the end for more milestones)*

*Final milestone should be Completion of Project, submission of final project report in Word and PDF format along with closure presentation.*

1. **Global Reference papers in the area (State of Art) (Top 2 nos.)**
2. **Global Reference patents in the area (Top 2 nos.)**
3. **Ongoing projects/consultancy work with DRDO Labs/ other organisations in the given technical area**

*(Funding Agency, Title, Cost, Duration, Year of sanction, Status – Completed/ongoing, if completed provide year of completion)*

*(Add more rows at the end)*

* 1. Project 1
  2. Project 2

1. **Novelty/ Innovation of Research**

*(Add more rows at the end for more innovations)*

* 1. Innovation 1
  2. Innovation 2

1. **Technical Challenges**

*Write here.*

1. **Relevance to DRDO**

*Write here.*

1. **Risk Analysis & Mitigation Pathways**

*(Add more rows at the end for more risks)*

|  |  |
| --- | --- |
| Risks | Mitigation Pathways |
| Risk 1 |  |
| Risk 2 |  |

1. **Work Share / WBS of PI, Lab, Industry and Foreign Co-PI**

*Define macro details of the workshare. Full details to be given in a separate research proposal document at the end of this form.*

*(Add more Columns for more partners/ Add more rows for more tasks))*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Task | PI | Lab | Co-PI 1 | Co-PI 2 |
| Task 1 |  |  |  |  |
| Task 2 |  |  |  |  |

1. **Data/Input Requirements from DRDO**

*(Define macro details of the inputs. Add more rows at the end if required)*

* 1. Input data like CAD
  2. Input data like testing results
  3. Material properties
  4. Equipment functioning

1. **Brief of Equipment/ Facility Requirement (from DRDO, existing infrastructure, Industries/ other facilities in country/ international)**

*(Define macro details of the facilities)*

* 1. Equipment for testing
  2. High performance computing
  3. Flight test facility

1. **Initial Thoughts on Project Outcomes Utilization Pathway**

Choose an item.

*Enter comments.*

# Form 3A: Lab Recommendation- Initial

*This form will be filled by stake holding DRDO Lab*

*(To be filled by the stake holding DRDO Lab)*

|  |  |  |
| --- | --- | --- |
|  | Lab Name |  |
|  | Project Title | Complete Title of the Project |
|  | Technical Application  (Details in 1-2 lines)  (Tick the applicable items) | Component  Subsystem  System  Material  Process  Support tools  Codes for Simulation  Software  Others (specify) |
|  | Names and Designation of Collaborating Scientists |  |
|  | Alignment with Roadmap/ Programme (dropdown)  (Yes/ No) | Choose an item. |
|  | Concurrence to point 13 & 14 of Form 2 |  |
|  | Detailed Comments on the Proposal |  |
|  | Comments on Industry Association |  |

* Continuous interactions will be taken up with PI to meet the timelines and for concluding the technical outcomes.
* Data sharing will be done with PI and research teams formally with information to DFTM.
* Details of the project relevant to development of Component/ Subsystem/ System/ Raw Material/ Others to be specified and linked with the proposed /ongoing lab activity.

|  |  |  |
| --- | --- | --- |
|  |  |  |
| **(Coordinating Scientist)** |  | **(Lab Director)** |

# Form 3B: Lab Recommendation- Final

*This form will be filled by stake holding DRDO Lab*

*(To be filled by the stake holding DRDO Lab)*

|  |  |  |
| --- | --- | --- |
|  | Lab Name |  |
|  | Project Title | Complete Title of the Project |
|  | Project Outcome Utilization Plan | Absorption by DRDO lab  ToT to Industry  Prototype development with Industry Collaboration |
|  | Detailed Comments on the Project after TEC, RAB & GC Deliberations |  |
|  | Recommendations on the Project Outcomes and Artefacts submitted |  |
|  | Recommendations on the Equipment and Facility created as part of the project |  |
|  | Comments on Industry Association |  |

* Continuous interactions will be taken up with PI to meet the timelines and for concluding the technical outcomes.
* Data sharing will be done with PI and research teams formally with information to DFTM.

|  |  |  |
| --- | --- | --- |
|  |  |  |
| **(Coordinating Scientist)** |  | **(Lab Director)** |

*Note: This is to be submitted after after GC approval.*

# Form 4: Extended Technical Details

|  |  |  |  |
| --- | --- | --- | --- |
| **Pre TEC** | **Pre RAB** | **Pre GC** | **Post GC** |

|  |  |
| --- | --- |
| ***Title*** | **Complete Title of the Project** |
| ***PI, Institute*** | **Pl write your name without Designation , IIT Kanpur** |
| ***Coordinating DIA CoE*** | **DIA COE Institute Name** |
| ***Research Vertical*** | **Pl write the name of Research Vertical** |

1. **Technology Description**

*Write here.*

1. **Key Technology Challenges**

*Write here.*

1. **State of Art (National)**

*Write here.*

1. **State of Art (International)**

*Write here.*

1. **Figures of Merit (FoM)**

*(Add more rows at the end)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Property** | **Parameter** | **Targeted Value** | **International value** | **Measurement Methodology** |
|  |  |  |  |  |
|  |  |  |  |  |

1. **Competence Level/ Previous work done in this area or related field**

*(Add more rows at the end)*

* 1. Publication in this field by the PI

1. **Role of Industry (if applicable)**

|  |  |  |
| --- | --- | --- |
| **Role of Industry** | **Workshare** | **Justification** |
|  |  |  |
|  |  |  |

1. **Application of Technology**

*(Add more rows at the end)*

1. **Technical Plan/ Methodology (Brief) (Attach)**

(Detailed technology plan to be provided in a separate document and to be attached at the end of document)

1. **PERT Chart Highlighting Critical Path (Attach)**

*(Gantt Project or Project Libre open-source software may be used.)*

1. **Equipment/ Facilities Required (to be bought)**

*(Add more rows at the end if required)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| ***S. No*** | ***Name of Equipment*** | ***Quantity*** | ***Cost*** | ***Source country*** |
|  |  |  |  |  |
|  |  |  |  |  |

1. **Equipment/ Facility available with Academia/ Industry for the Project**

*(Add more rows at the end if required)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| ***S.No*** | ***Name of Equipment*** | ***Available Quantity*** | ***Serviceable / Unserviceable*** | ***Source country*** |
|  |  |  |  |  |
|  |  |  |  |  |

1. **Equipment/ Facility available with DRDO for the Project**

*(Add more rows at the end if required, Inputs may be sought from DRDO)*

|  |  |  |
| --- | --- | --- |
| **S. No** | **Name of Equipment** | **DRDO Lab/ Estt** |
|  |  |  |
|  |  |  |

1. **Equipment/ Facility Available Within Country for the Project**

*(Add more rows at the end if required, Inputs may be sought from DRDO and Other researcher community in the field)*

|  |  |  |  |
| --- | --- | --- | --- |
| **S. No** | **Name of Equipment** | **Institute where Equipment Available** | **Location** |
|  |  |  |  |

1. **Procured Services Required (available within country/ abroad)**

*(Add more rows at the end if required)*

|  |  |  |  |
| --- | --- | --- | --- |
| **S. No** | **Type** | **Approx. Cost** | **Source Country** |
|  |  |  |  |

1. **List of Expendables (available within country/ abroad)**

*(Add more rows at the end if required)*

|  |  |  |  |
| --- | --- | --- | --- |
| **S. No** | **Name of Expendables** | **Cost/ Unit** | **Source Country** |
|  |  |  |  |

# Form 5A: Financial Details

*Series 5 forms to be filled by each PI/ Co PI (including Industry) where separate sanctions are required.*

*Individual and consolidated forms to be submitted.*

|  |  |  |  |
| --- | --- | --- | --- |
| **PI** | **Co PI** | **Industry** | **Consolidated** |

|  |  |  |  |
| --- | --- | --- | --- |
| **Pre TEC** | **Pre RAB** | **Pre GC** | **Post GC** |

Cost Break-up (Refer Cost Projection Guidelines)

*All figures to be reflected in lakh rupees and specify figures up to two decimal places.*

*Include service contract for maintenance beyond warranty.*

*Include items required for the safe operation of eqpt.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Proposed Expenditure on 🡫 (Rs in Lakhs) During 🡪** | ***Year 1*** | ***Year 2*** | ***……*** | ***Last Year*** | ***Line Total*** |
| 1. Research Manpower |  |  |  |  |  |
| 1. Equipment (including spares thereof)   *Specific to the project for knowledge dissemination.* |  |  |  |  |  |
| 1. Equipment Operation & Maintenance |  |  |  |  |  |
| 1. Expendables | *Overall ceiling exists. See guidelines.*  *Specify nos. of visits and places to the extent possible.* |  |  |  |  |
| 1. Workshop/Conferences |  |  |  |  |  |
| 1. Travel |  |  |  |  |  |
| 1. Contingencies | *Overall ceiling exists. See guidelines.*  *Includes office supplies, local tpt, stationery etc..* |  |  |  |  |
| 1. Visiting Faculty or Research Consultant(s) |  |  |  |  |  |
| 1. Procured services (other than (7) and metered utilities) |  |  |  |  |  |
| 1. Institutional overheads (as per approved norms) | *Include brief bio-data of proposed visiting faculty* |  |  |  |  |
| *Column Totals* |  |  |  |  |  |

*OH charges for various project costs as per Govt. letter are:*

*[0, 5] cr - min {10% cost, 15 lakh};*

*(5, 10] cr - 20 lakh;*

*(10, 20] cr - 25 lakh*

*(20, 30] cr - 30 lakh;*

*(30, 40] cr - 40 lakh*

*(40, 50] cr - 50 lakh*

*The amount needs to be apportioned as per year wise requirements.*

Total Cost of the Project will be ₹ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (in words) only (₹ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_).

*Include commercial testing, certification charges, charged time for shared eqpt, expenses on advertising etc.*

# Form 5B: Manpower Detailed Justification

Manpower Detailed Justification

*(DST approved posts can be included in the type of manpower)*

*(Add more rows at the end if required)*

|  |  |  |
| --- | --- | --- |
| ***Type*** | ***Number*** | ***Work Allocation with Designation*** |
| Choose an item. | *Indicate numbers and categories.*  *HRA payable as per Govt. rules.* |  |
|  |
| Choose an item. |  |  |
|  |
| Choose an item. |  |  |
|  |
| Choose an item. |  |  |
|  |
| ***Others***  ***(Internship/ Hackathon)*** |  |  |

(Senior Research Fellow (SRF), Junior Research Fellow (JRF), PA (Personal Assistant), Research Assistant (RA) to be given salaries as per approved published norms of GoI/Institute/DST/CSIR.)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **S No** | **Researcher (modify as per Institute norms)** | **Salary Per month** | **HRA** | **Total (Salary & HRA)/ Month** | **Yearly Increment (if Applicable)** | **Nos of researchers** | **Total/Year (Lakhs)** |
| 1 |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |
| 6 |  |  |  |  | *Use nomenclature only as per the prevailing Office Order of IIT Kanpur related to hiring of manpower.* |  |  |
| 7 | Internship/ Hackathon |  |  |  |  |  |  |

# 

# Form 5C: Equipment Details

Equipment Detailed Justification

*(Add more rows at the end if required)*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| ***S. No*** | ***Name of Equipment*** | ***Quantity*** | ***Cost*** | ***BQ*** | ***Source Country***  ***(OEM & Supplier)*** | ***Justification*** |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  | *Include spares thereof.*  *Provide broad specs of eqpt being bought.* |  |  |  |  |
|  |  |  |  |  |  |  |

**Long Term Utilisation of the Equipment**

(Plan for long term utilization to be given)

Write Here

# Form 5D: Details of Expendables

List of Expendables

*(Add more rows at the end if required)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***S No*** | ***Name of Expendable*** | ***Cost/ Unit*** | ***BQ*** | ***Source Country***  ***(OEM & Supplier)*** | ***Justification*** |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  | *Includes chemicals, glassware, electronic components, raw materials and other consumables.* |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

# Form 5E: Details of Procured Services

List of Procured Services

*(Add more rows at the end if required)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ***S No*** | ***Type of Services*** | ***Cost*** | ***BQ*** | ***Source Country*** | ***Justification*** |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  | *Include commercial testing, certification charges, charged time for shared eqpt, expenses on advertising etc.*  *Other than ones covered in contingencies.* |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

# Form 5F: Workshop/ Conference

Workshop/ Conference Justification

*(Add more rows at the end if required)*

|  |  |  |  |
| --- | --- | --- | --- |
| ***S.No.*** | ***Title*** | ***Cost*** | ***Justification*** |
|  |  |  |  |
|  |  |  |  |
|  | *Specific to project for knowledge dissemination.* |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

# Form 5G: Cost estimation for Expendables (Post GC)

***Cost Estimation for Expendables***

*(Based on available Information to the extent possible)*

*(Add more rows at the end if required)*

| ***SNo*** | ***Description*** | ***Qty*** | ***Estimation based on SO/BQ/GeM/ Own estimate)*** | ***Year of Ref*** | ***Currency*** | ***Exchange Rate*** | ***Cost in without taxes (Rs. Lakh)*** | ***GST*** | ***Custom Duty*** | ***Other charges*** | ***Total Cost with taxes (Lakh)*** | ***Details of BQ/ Previous purchase*** | ***Cost proposed*** | ***Ref. Page no.*** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **2** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **3** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **4** |  |  |  |  | *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |  |  |  |  |  |  |  |  |
| **5** |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

# Form 5H: Cost Estimation for Research Staff (Post GC)

***Cost Estimation for Research Staff***

*(As per approved and published norms of GoI/ DST/ CSIR/ Institute)*

*(Add more rows at the end if required)*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | ***Research Staff Post*** | ***No.*** | ***Monthly remuneration*** | ***HRA @....*** | ***Total*** | ***Yearly Remuneration*** | ***Yearly Increment*** | ***Y1*** | ***Y2*** | ***Y3*** | ***Y4*** | ***Y5*** | ***Total*** |
| **1** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **2** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **3** |  |  |  |  |  |  |  |  |  |  |  |  |  |
| **4** |  |  |  |  | *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |  |  |  |  |  |  |  |

# Form 5I: Cost Estimation for Proposed Equipment (Post GC)

***Cost Estimation for Proposed Equipment***

*(Add more rows at the end if required)*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***S. no.*** | ***Equipment*** | ***Qty*** | ***Estimation based on SO/BQ/GeM/ Own estimate)*** | ***Year of Reference*** | ***Currency*** | ***Exchange Rate*** | ***Cost in without taxes (Rs. Lakh)*** | ***GST*** | ***Custom Duty*** | ***Other charges*** | ***Total Cost with taxes (Lakh)*** | ***Details of BQ / Previous purchase*** | ***Cost proposed by PI*** | ***Ref. Page no.*** |
| 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  |  | *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

# Form 5J: Cost Estimation for Contingency (Post GC)

***Cost Estimation for Contingency***

*(Add more rows at the end if required)*

|  |  |  |
| --- | --- | --- |
| ***Year*** | ***Description to the extent possible*** | ***Amount*** |
| ***Year-1*** |  |  |
| ***Year-2*** |  |  |
| ***Year-3***  *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |  |
| ***Year-4*** |  |  |

# Form 5K: Cost Estimation for Travel (Post GC)

***Cost Estimation for Travel***

*(Add more rows at the end if required)*

|  |  |  |
| --- | --- | --- |
| ***Year*** | ***Domestic travel details like places of visit, No. of visits/year*** | ***Amount*** |
| ***Year-1*** |  |  |
| ***Year-2*** |  |  |
| ***Year-3*** | *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |
| ***Year-4*** |  |  |

# Form 6A: PI/Co PI/ NRI Co PI Details

|  |  |  |
| --- | --- | --- |
| **PI** | **Co PI** | **NRI Co PI** |

|  |  |  |
| --- | --- | --- |
|  | Name |  |
|  | Designation |  |
|  | Department |  |
|  | Institution |  |
|  | Birth Date (dd/mm/yyyy) |  |
|  | Gender (Dropdown) | Choose an item. |
|  | Email |  |
|  | Phone |  |
|  | Fax | *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |
|  | Mobile |  |
|  | Address |  |
|  | Country |  |

1. Academic Qualification (Begin with Bachelor Degree)

|  |  |  |  |
| --- | --- | --- | --- |
| ***Degree*** | ***University*** | ***Subject*** | ***Year*** |
| *Bachelor* |  |  |  |
| *Master’s* |  |  |  |
| *PhD* |  |  |  |
| *Post Doctoral* |  |  |  |

1. Other Research Training & Experience (*Especially establishing research qualification in area covered by this application including previous and present two highlights*)

*(Add more rows at the end if required)*

| ***Institution*** | ***Topic of work done*** | ***Year*** |
| --- | --- | --- |
|  |  |  |
|  |  |  |

1. Field of major scientific interest
   1. Area 1
   2. Area 2
   3. Area 3
2. Best 2 Publications with impact factor
   1. Paper 1; reference with Impact Factor
   2. Paper 2; reference with Impact Factor
3. Details of Patents
   1. Patent 1
   2. Patent 2
4. Details of technologies developed in the field
   1. Technology 1
   2. Technology 2
   3. Technology 3
5. List of ongoing projects of PIs

*(Add more rows at the end if required)*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Funding agency** | **Amount (Rs)** | **Start Date** | **End Date** | **Title** | **PI** | **Co-PI** |
|  |  |  |  |  |  |  |

1. List of projects conducted for DRDO/DIA-CoE/ other Govt agencies/ CSIR/ DST/ DBT/ PSA office etc.

*(Add more rows at the end if required)*

|  |  |  |  |
| --- | --- | --- | --- |
| **S.No** | **Project Title** | **Department** | **Report / UC submitted or pending** |
| 1 |  |  |  |

# Form 6B: Industry/Startup Co-PI Details

|  |  |  |
| --- | --- | --- |
|  | Name of Industry |  |
|  | Branch |  |
|  | Date of Incorporation (dd/mm/yyyy) |  |
|  | Point of contact (PoC) in Industry |  |
|  | Designation |  |
|  | Gender (Dropdown) | Choose an item. |
|  | Email (PoC and Industry) |  |
|  | Phone |  |
|  | Fax  *Pl leave right now.*  *Will be filled and submitted after approval of project from the Governing Council.* |  |
|  | Mobile |  |
|  | Address |  |

1. Research, Training & Experience (*Especially establishing research qualification in area covered by this application including previous and present two highlights*)

*(Add more rows at the end if required)*

|  |  |  |
| --- | --- | --- |
| **Institution** | **Topic of work done** | **Year** |
|  |  |  |
|  |  |  |

1. Participation of Industry in R&D with other organisations
   1. Participation 1
   2. Participation 2
   3. Participation 3
2. Details of Patents
   1. Patent 1
   2. Patent 2
3. Details of technologies developed in the field
   1. Technology 1
   2. Technology 2
4. List of projects conducted for DRDO/DIA-CoE/ other Govt agencies/ CSIR/ DST/ DBT/ PSA office etc. Project completed for other/host countries can be listed in the given technical field.

*(Add more rows at the end if required)*

|  |  |  |
| --- | --- | --- |
| **S.No** | **Project Title** | **Department** |
| 1 |  |  |
| 2 |  |  |

# Form 7A: Certificate of Project Submission

The undersigned agree to abide by the terms and conditions set forth for the Directed Research Grant and certify that basic necessary facilities for the proposed work are available and the same can be extended to the investigator. The proposal has not been submitted to or under consideration by any other funding agency, simultaneously. As on date statement of accounts / Utilization Certificate of completed DRDO projects and technical closure reports are not pending with PI / Institute.

|  |  |  |
| --- | --- | --- |
| **Administrative authority of host institution forwarding proposal** |  | **Principal Investigator (PI) IIT Kanpur** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature with Seal |  | Signature with Seal |
| Name: |  | Name: Pl write your name without Designation |
| Designation: |  | Designation: |
| Date: |  | Date: |

Official Stamp

University/Institution

*Pl leave right now.*

*Will be filled and submitted after approval of project from the Governing Council.*

# Form 7B: Cost Reasonability Certificate

The rates as quoted in the budgetary quotation provided by the vendors for equipment, Expendables and procured services are reasonable.

|  |  |  |
| --- | --- | --- |
|  |  | **Principal Investigator (PI) IIT Kanpur** |
|  |  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  |  | Signature with Seal |
|  |  | Name: Pl write your name without Designation |
|  |  | Designation: |
|  |  | Date: |

*Pl leave right now.*

*Will be filled and submitted after approval of project from the Governing Council.*

# Form 7C: HRA Certificate

It is certified that the HRA claimed for the research staff from DRDO shall be paid if no accommodation is provided to them.

|  |  |  |
| --- | --- | --- |
| **Administrative authority of host institution forwarding proposal** |  | **Principal Investigator (PI) IIT Kanpur** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature with Seal |  | Signature with Seal |
| Name: |  | Name: Pl write your name without Designation |
| Designation: |  | Designation: |
| Date: |  | Date: |

*Pl leave right now.*

*Will be filled and submitted after approval of project from the Governing Council.*

# Form 7D: Certificate of Commercial Use

The institution shall maintain an account of its incomes arising out of commercial use and any royalty income shall be shared equally between DRDO and grantee institution.

|  |  |  |
| --- | --- | --- |
| **Administrative authority of host institution forwarding proposal** |  | **Principal Investigator (PI) IIT Kanpur** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature with Seal |  | Signature with Seal |
| Name: |  | Name: Pl write your name without Designation |
| Designation: |  | Designation: |
| Date: |  | Date: |

*Pl leave right now.*

*Will be filled and submitted after approval of project from the Governing Council.*

# Form 7E: Ethical Practices Project Certificate

*(Clearance certificates to be obtained for the concerned authorities as applicable to the project)*

It is certified that (a)Institutional ethical clearance and project approval (b)Clearance from the nuclear medicine committee of BARC and (c)Clearance from Institutional Bio safety committee have been obtained and relevant document are attached”.

|  |  |  |
| --- | --- | --- |
| **Administrative authority of host institution forwarding proposal** |  | **Principal Investigator (PI) IIT Kanpur** |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Signature with Seal |  | Signature with Seal |
| Name: |  | Name: Pl write your name without Designation |
| Designation: |  | Designation: |
| Date: |  | Date: |

*(Projects involving DNA/genetic engineering work should be examined and certified by the Institutional Bio-Safety committee (IBSC), Lodhi Road, Delhi.)*

*Pl leave right now.*

*Will be filled and submitted after approval of project from the Governing Council.*

**End of Proposal**

**Intentionally Left Blank**